

LONDON NOTICE NO. 3732

Issue Date: 3 July 2013

Effective Date: 3 July 2013

OFFER AND SALE OF EQUITY OPTIONS IN THE UNITED STATES REVISED ARRANGEMENTS UNDER SEC CLASS NO-ACTION RELIEF

Executive Summary

This Notice advises Members of revised arrangements in relation to the offer and sale of LIFFE Equity Option Contracts to prescribed entities in the United States, following receipt of a new class no-action relief from the U.S. Securities and Exchange Commission. The class no-action relief includes an extension of the current arrangements to include Equity Option Contracts based on non-UK underlyings (whilst continuing to exclude Equity Option Contracts based on U.S. underlyings). This Notice requires action by LIFFE Members who intend, or who wish to continue, to transact business in eligible Equity Option Contracts for prescribed entities.

1. Introduction

- 1.1 Members will be aware of the Exchange's arrangements with the U.S. Securities and Exchange Commission ("SEC") that enable Members of the London Market to familiarise qualifying entities in the United States with so-called "Approved Options" to under prescribed conditions. These arrangements facilitate the "offer and sale" of such contracts with or on behalf of such entities. Since 5 September 2008, those arrangements have applied in respect of Approved Options transacted on Bclear as well as the Central Order Book.
- 1.2 This Notice advises Members that, on 1 July 2013, the Exchange received from the SEC notification of a class no-action relief for Foreign Options Markets that will continue to permit the activity described in section 1.1 above, under slightly revised conditions. Furthermore, under the class no-action relief, the list of eligible equity products has been **extended** to include certain additional index options and individual equity options based on non-UK securities. However, the list continues to **exclude** all Individual Equity Options Contracts with U.S. security underlyings and Index Option Contracts containing US securities as components of the relevant index.
- 1.3 This Notice sets out the relevant terms and conditions of the class no-action relief and the associated requirements for Members who wish to take advantage of the arrangements. This Notice supersedes all previous Notices in relation to the offer and sale of equity options to U.S. Persons.

1.4 The class no-action relief provides for LIFFE Members to familiarise Prescribed Entities, as defined below, with specified Equity Option Contracts in accordance with the conditions set forth in this Notice. For the avoidance of doubt, direct trading access to Equity Option Contracts on the Universal Trading Platform from the United States continues to be prohibited.

2. Definition of Prescribed Entities

2.1 The Prescribed Entities who may be treated by LIFFE Members as clients under the terms of the class no-action relief are Eligible Broker-Dealers and Eligible Institutions. For the purposes of the class no-action relief an Eligible Broker-Dealer/Eligible Institution (and, individually, an “Eligible Broker-Dealer” or Eligible Institution”) is defined as any entity that meets the following standards:

- (i) it must be a “qualified institutional buyer” as defined in Rule 144A(a)(1) under the United States Securities Act of 1933 (“Securities Act”), or an international organisation excluded from the definition of “U.S. Person” in Rule 902(k)(2)(vi) of Regulation S under the Securities Act; and
- (ii) it must have had prior actual experience with traded options in the U.S. Options (and, therefore, would have received the disclosure document for U.S. standardised options called for by Rule 9b-1 under the United States Securities Exchange Act of 1934 (“Exchange Act”).

3. Conditions Applicable to Members

General

- 3.1 Pursuant to LIFFE Rule 3.28.3(a), a Member shall not treat as a client in respect of an Equity Option Contract any U.S. person or entity other than those which satisfy the criteria referred to in paragraph 2.1 above. A Member may treat U.S. persons or entities which satisfy the criteria referred to in paragraph 2.1 above (“Prescribed Entities”) as clients in respect of those Equity Option Contracts which are specified by the Board under paragraph (b) of Rule 3.28.3. The Equity Options which are specified by the Board for the time being are set out in Attachment 1 to this Notice and are referred to in this Notice and Attachments as “Eligible Options”. The list of Eligible Options comprises all current LIFFE Individual Equity Options based on the securities of non-U.S. issuers and all current LIFFE Index Options based on indices that contain no component securities of U.S. issuers. The list will be maintained on the NYSE Euronext website.
- 3.2 Members are advised that, under U.S. law, members of a Foreign Options Market (i.e. LIFFE, in this instance) that are not registered with the SEC as broker-dealers may deal with Eligible Institutions only in accordance with Rule 15a-6 under the Exchange Act, principally through U.S. registered broker-dealers, as provided in Rule 15a-6.
- 3.3 Members should note that, under the terms of the class no-action relief, **they will no longer be required to furnish their Eligible Broker-Dealer or Eligible Institution client with a LIFFE Disclosure Document.**

Representation from the Prescribed Entity

- 3.4 Before entering into transactions with or on behalf of their Eligible Broker-Dealer or Eligible Institution client, Members will be required to obtain and maintain a record of representations from such client, signed by an appropriate officer, in the form prescribed in the no-action relief, which is as follows:
- (a) It is an Eligible Broker-Dealer/Eligible Institution, and as such it:
 - (i) owns and invests on a discretionary basis a specified amount of eligible securities sufficient for it to be a qualified institutional buyer under Rule 144A under the Securities Act (and if a bank, savings and loan association, or other thrift institution, has a net worth meeting the requirements of Rule 144A under the Securities Act); and
 - (ii) has had prior actual experience in the U.S. standardised options markets and as a result thereof has received the options disclosure document entitled “Characteristics and Risks of Standardized Options” (“the “Options Disclosure Document” or “ODD”) that is prepared by the Options Clearing Corporation and the U.S. options exchanges;
 - (b) Its transactions in Eligible Options will be for its own account or for the account of another Eligible Broker-Dealer/Eligible Institution or for the managed account of a non-U.S. person within the meaning of Rule 902(k)(2)(i) of Regulation S under the Securities Act;
 - (c) It will not transfer any interest or participation in an Eligible Option it has purchased or written to any other U.S. person, or to any person in the United States, that is not an Eligible Broker-Dealer/Eligible Institution;
 - (d) It will cause any disposition of an Eligible Option it has purchased or written to be effected only on LIFFE, and it understands that any required payments for premium, settlement, exercise, or closing of any Eligible Option with respect to which it has a contract with the LIFFE Member must be made in the designated currency;
 - (e) It understands that if it has a contract as a writer of an Eligible Option with a LIFFE Member, margin must be provided to that LIFFE Member in such form and amount as determined by that Member, and such Member, if a Non-Clearing Member of LIFFE, must provide margin to its Clearing Member; and if a Clearing Member of LIFFE, must maintain, measure, and deposit margin on such Eligible Option with LIFFE’s appointed clearing house, ICE Clear Europe Limited (“ICE Clear”), in such form and amount as determined by ICE Clear;
 - (f) If it is an Eligible Broker-Dealer/Eligible Institution acting on behalf of another Eligible Broker-Dealer/Eligible Institution that is not a managed account, it has obtained from the other Eligible Broker-Dealer/Eligible Institution a written representation to the same effect as the foregoing and will provide it to the LIFFE Member upon demand; and
 - (g) It will notify the LIFFE Member of any change in the foregoing representations prior to placing any future order, and the foregoing representations will be deemed to be made with respect to each order it gives to the LIFFE Member.

3.5 A template for this representation is provided at Attachment 2 to this Notice.

Compliance with the Terms of the Class No-Action Relief

3.6 Any Member who intends to transact business in Eligible Options with one or more Prescribed Entities is required to complete and return the form at Attachment 3 to this Notice to the Member Compliance Department at LIFFE at derivativesmembership@nyx.com. Subsequently, such form will be required to be resubmitted to LIFFE on an annual basis following a request which will be made by Notice. For the avoidance of doubt, any Member who currently treats a Prescribed Entity as a client in respect of Eligible Options under the outgoing arrangements with the SEC is likewise required to submit this form to the Exchange, no later than 19 July 2013.

3.7 In addition, such Member is required to maintain a list that must be available at any time at the request of the Exchange of those Prescribed Entities whom it treats, or has treated within the preceding five years, as clients in respect of Eligible Options.

Additional SEC Requirements

3.8 The class no-action relief requires LIFFE to have in place policies and procedures to monitor for and assure member compliance with the terms of that relief.

3.9 Members should note that the class no-action relief is predicated, amongst other things, on the overarching obligations in the LIFFE Rules for Members to provide information to, or to otherwise assist, the Exchange in relation to LIFFE business. Furthermore, the relief requires that the Exchange is able and willing to provide relevant Member information to the SEC on request.

For further information in relation to this Notice, Members should contact:

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List of Eligible Options for Offer and Sale to US Entities as at 3 July 2013

Options on the following company shares (Exchange Contracts No. 201 and/or No. 201F) (and for the purpose of UK Stock Contingent Trades, Exchange Contract No. 211) are Eligible Options

Company Name	ISIN	Relevant Stock Exchange	Relevant Country	Flexible contracts (Bclear only)				Standard contracts (Bclear and Central Order Book)
				American style		European style		American style
				Cash settled	Physically delivered	Cash settled	Physically delivered	Physically delivered
AZA SpA	IT0001233417	Borsa Italiana	Italy	n/a	ASO	ASB	ASV	n/a
Ansaldo STS SpA	IT0003977540	Borsa Italiana	Italy	n/a	ANU	ANQ	ANJ	n/a
Assicurazioni Generali SpA	IT0000062072	Borsa Italiana	Italy	n/a	GXQ	GXJ	GXX	n/a
Atlantia SpA	IT0003506190	Borsa Italiana	Italy	n/a	AUQ	AUJ	AUX	n/a
Azimut Holding SpA	IT0003261697	Borsa Italiana	Italy	n/a	AZL	AZS	AZI	n/a
Banca Monte dei Paschi Siena	IT0001334587	Borsa Italiana	Italy	n/a	EVQ	EVJ	EVX	n/a
Banca Popolare dell'Emilia Romagna	IT0000066123	Borsa Italiana	Italy	n/a	BPZ	BPD	BPH	n/a
Banco Popolare di Milano Scarl	IT0000064482	Borsa Italiana	Italy	n/a	UWQ	UWJ	UWX	n/a
Banco Popolare Scarl	IT0004231566	Borsa Italiana	Italy	n/a	FHQ	FHJ	FHX	n/a
Davide Campari - Milano	IT0003849244	Borsa Italiana	Italy	n/a	DA2	DA3	DA4	n/a
DiaSorin SpA	IT0003492391	Borsa Italiana	Italy	n/a	DI1	DI2	DI3	n/a
Enel SpA	IT0003128367	Borsa Italiana	Italy	n/a	ECQ	ECJ	ECX	n/a
ENI SpA	IT0003132476	Borsa Italiana	Italy	n/a	ENQ	ENJ	ENX	n/a
Fiat Industrial	IT0004644743	Borsa Italiana	Italy	n/a	FAF	FAG	FAK	n/a
Fiat SpA	IT0001976403	Borsa Italiana	Italy	n/a	FIQ	FIJ	FIX	n/a
Finmeccanica SpA	IT0003856405	Borsa Italiana	Italy	n/a	FNQ	FNJ	FNX	n/a
Geox SpA	IT0003697080	Borsa Italiana	Italy	n/a	MXT	MXC	MXN	n/a
Intesa Sanpaolo SpA	IT0000072618	Borsa Italiana	Italy	n/a	BIQ	BIJ	BIX	n/a
Italcementi SpA	IT0001465159	Borsa Italiana	Italy	n/a	YWQ	YWJ	YWX	n/a
GTECH SpA	IT0003990402	Borsa Italiana	Italy	n/a	KKQ	KKJ	KKX	n/a
Luxottica Group SpA	IT0001479374	Borsa Italiana	Italy	n/a	LUQ	LUJ	LUX	n/a
Mediaset SpA	IT0001063210	Borsa Italiana	Italy	n/a	MHQ	MHJ	MHX	n/a
Mediobanca SpA	IT0000062957	Borsa Italiana	Italy	n/a	MBQ	MBJ	MCX	n/a
Mediolanum SpA	IT0001279501	Borsa Italiana	Italy	n/a	MKQ	MKJ	MKX	n/a
Mondadori (Arnoldo) Editore SpA	IT0001469383	Borsa Italiana	Italy	n/a	KOQ	KOJ	KOV	n/a
Pirelli & C SpA	IT0004623051	Borsa Italiana	Italy	n/a	PCQ	PCJ	PCX	n/a
Prysmian SpA	IT0004176001	Borsa Italiana	Italy	n/a	MZT	MZC	MZN	n/a
Saipem SpA	IT0000068525	Borsa Italiana	Italy	n/a	WYQ	WYJ	WYX	n/a
Salvatore Ferragamo SpA	IT0004712375	Borsa Italiana	Italy	n/a	SL2	SL3	SL4	n/a
Snam SpA	IT0003153415	Borsa Italiana	Italy	n/a	CXQ	CXJ	CXX	n/a
STMicroelectronics NV (IT)	NL0000226223	Borsa Italiana	Italy	n/a	ARQ	ARJ	ARX	n/a
Telecom Italia SpA	IT0003497168	Borsa Italiana	Italy	n/a	TJQ	TJJ	TJX	n/a
Telecom Italia SpA (Savings)	IT0003497176	Borsa Italiana	Italy	n/a	TNQ	TNJ	TNX	n/a
Tenaris SA	LU0156801721	Borsa Italiana	Italy	n/a	XUQ	XUJ	n/a	n/a
Terna SpA	IT0003242622	Borsa Italiana	Italy	n/a	XVQ	XVJ	XVX	n/a
Tod's SpA	IT0003007728	Borsa Italiana	Italy	n/a	TD1	TD2	TD3	n/a
Unicredit SpA	IT0004781412	Borsa Italiana	Italy	n/a	UCQ	UCJ	UCX	n/a
Unione Di Banche Italiane Scrl	IT0003487029	Borsa Italiana	Italy	n/a	FRQ	FRJ	FRX	n/a
AP Moller - Maersk B	DK0010244508	Copenhagen Stock Exchange	Denmark	MAU	MAQ	MAJ	MAX	n/a
Danske Bank A/S	DK0010274414	Copenhagen Stock Exchange	Denmark	OGU	OGQ	OGJ	OGX	n/a
Novo-Nordisk A/S	DK0060102614	Copenhagen Stock Exchange	Denmark	NFU	NFQ	NFJ	NFX	n/a
Vestas Wind Systems A/S	DK0010268606	Copenhagen Stock Exchange	Denmark	VWW	VWQ	VWJ	VWV	n/a
Brenntag AG	DE000A1DAH0	Deutsche Boerse	Germany	BN4	BN5	BN6	BEA	n/a
Suedzucker AG	DE0007297004	Deutsche Boerse	Germany	SU3	SU4	SU5	SU6	n/a
Aareal Bank AG	DE0005408116	Deutsche Börse (Xetra)	Germany	AR1	AR2	AR3	AR4	n/a
Adidas AG	DE000A1EWWW0	Deutsche Börse (Xetra)	Germany	FKU	FKQ	FKJ	FKX	n/a
Allianz AG	DE0008404005	Deutsche Börse (Xetra)	Germany	ALU	ALQ	ALJ	ALX	n/a
BASF AG	DE000BASF111	Deutsche Börse (Xetra)	Germany	BJU	BJQ	BJJ	BJX	n/a
Bayer AG	DE000BAY0017	Deutsche Börse (Xetra)	Germany	BQU	BQQ	BQJ	BQX	n/a
Bayerische Motoren Werke AG	DE0005190003	Deutsche Börse (Xetra)	Germany	BMU	BMQ	BMJ	BMX	n/a
Beiersdorf AG	DE0005200000	Deutsche Börse (Xetra)	Germany	DLU	DLQ	DLJ	DLX	n/a
Celesio AG	DE000CLS1001	Deutsche Börse (Xetra)	Germany	CLU	CLQ	CLJ	CLX	n/a
Commerzbank AG	DE000CBK1001	Deutsche Börse (Xetra)	Germany	CBU	CBQ	CBJ	CBX	n/a
Continental AG	DE0005439004	Deutsche Börse (Xetra)	Germany	DVU	DVQ	DVJ	DVX	n/a
Daimler AG	DE0007100000	Deutsche Börse (Xetra)	Germany	DCU	DCQ	DCJ	DCX	n/a
Deutsche Bank AG	DE0005140008	Deutsche Börse (Xetra)	Germany	DBU	DBQ	DBJ	DBX	n/a
Deutsche Boerse AG	DE0005810055	Deutsche Börse (Xetra)	Germany	FVU	FVQ	FVJ	FVX	n/a

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				American style		European style		American style
				Cash settled	Physically delivered	Cash settled	Physically delivered	Physically delivered
Deutsche Euroshop	DE0007480204	Deutsche Börse (Xetra)	Germany	DR1	DR2	DR3	DR4	n/a
Deutsche Lufthansa AG	DE0008232125	Deutsche Börse (Xetra)	Germany	LHU	LHQ	LHJ	LHX	n/a
Deutsche Post AG	DE0005552004	Deutsche Börse (Xetra)	Germany	DPU	DPQ	DPJ	DPX	n/a
Deutsche Postbank AG	DE0008001009	Deutsche Börse (Xetra)	Germany	FJU	FJQ	FJJ	FJX	n/a
Deutsche Telekom AG	DE0005557508	Deutsche Börse (Xetra)	Germany	DTU	DTQ	DTJ	DTX	n/a
E.ON AG	DE000ENAG999	Deutsche Börse (Xetra)	Germany	EOU	EOQ	EOJ	EOX	n/a
Euro Aero Defense and Space (DB)	NL0000235190	Deutsche Börse (Xetra)	Germany	ZMU	ZMQ	ZMJ	ZMX	n/a
Fresenius Medical Care AG	DE0005785802	Deutsche Börse (Xetra)	Germany	FMU	FMQ	FMJ	FMX	n/a
Fresenius SE & Co. KGaA	DE0005785604	Deutsche Börse (Xetra)	Germany	ZOU	ZOQ	ZOJ	ZOX	n/a
GEA Group AG	DE0006602006	Deutsche Börse (Xetra)	Germany	GCW	GCO	GCB	GCV	n/a
Gerry Weber International AG	DE0003304101	Deutsche Börse (Xetra)	Germany	GW1	GW2	GW3	GW4	n/a
Hannover Rueck AG	DE0008402215	Deutsche Börse (Xetra)	Germany	ZPU	ZPQ	ZPJ	ZPX	n/a
HeidelbergCement AG	DE0006047004	Deutsche Börse (Xetra)	Germany	HEM	HET	HEC	HGN	n/a
Henkel AG & CO KGaA – PFD	DE0006048432	Deutsche Börse (Xetra)	Germany	HHU	HHQ	HHJ	HHX	n/a
Hochtief AG	DE0006070006	Deutsche Börse (Xetra)	Germany	ZQU	ZQQ	ZQJ	ZQX	n/a
Infineon Technologies AG	DE0006231004	Deutsche Börse (Xetra)	Germany	IFU	IFQ	IFJ	IFX	n/a
K+S AG	DE000KSAG888	Deutsche Börse (Xetra)	Germany	KDW	KDO	KDB	KDV	n/a
Kabel Deutschland Holding AG	DE000KD88880	Deutsche Börse (Xetra)	Germany	KD1	KD2	KD3	KD4	n/a
Krones AG	DE0006335003	Deutsche Börse (Xetra)	Germany	KR1	KR2	KR3	KR4	n/a
Lanxess	DE0005470405	Deutsche Börse (Xetra)	Germany	ZYU	ZYQ	ZYJ	ZYX	n/a
Linde AG	DE0006483001	Deutsche Börse (Xetra)	Germany	LIU	LIQ	LIJ	LIX	n/a
Merck KGaA	DE0006599905	Deutsche Börse (Xetra)	Germany	KSU	KSQ	KSJ	KSX	n/a
Metro AG	DE0007257503	Deutsche Börse (Xetra)	Germany	MEU	MEQ	MEJ	MEX	n/a
MLP AG	DE0006569908	Deutsche Börse (Xetra)	Germany	ZZU	ZZQ	ZZJ	ZZX	n/a
Muenchener Rueckversicherung	DE0008430026	Deutsche Börse (Xetra)	Germany	MUU	MUQ	MUJ	MUX	n/a
Porsche Automobil Holding SE	DE000PAH0038	Deutsche Börse (Xetra)	Germany	PDU	PDQ	PDJ	PDX	n/a
Puma SE	DE0006969603	Deutsche Börse (Xetra)	Germany	QXW	QXQ	QXB	QXV	n/a
Qiagen NV	NL0000240000	Deutsche Börse (Xetra)	Germany	QFW	QFO	QFB	QFV	n/a
RATIONAL AG	DE0007010803	Deutsche Börse (Xetra)	Germany	RA1	RA2	RA3	RA4	n/a
RWE AG	DE0007037129	Deutsche Börse (Xetra)	Germany	RWU	RWQ	RWJ	RWX	n/a
Salzgitter AG	DE0006202005	Deutsche Börse (Xetra)	Germany	YJU	YJQ	YJJ	YJX	n/a
SAP AG	DE0007164600	Deutsche Börse (Xetra)	Germany	SKU	SKQ	SKJ	SKX	n/a
Siemens AG ex event	DE0007236101	Deutsche Börse (Xetra)	Germany	SIU	SIQ	SIJ	SIX	n/a
Sixt AG	DE0007231326	Deutsche Börse (Xetra)	Germany	SI1	SI2	SI3	SI4	n/a
Solarworld AG	DE0005108401	Deutsche Börse (Xetra)	Germany	AUW	AUO	AUB	AUV	n/a
Stada Arzneimittel AG	DE0007251803	Deutsche Börse (Xetra)	Germany	SZW	SZO	SZB	SZV	n/a
ThyssenKrupp AG	DE0007500001	Deutsche Börse (Xetra)	Germany	TKU	TKQ	TKJ	TKX	n/a
TUI AG	DE000TUAG000	Deutsche Börse (Xetra)	Germany	TUU	TUQ	TUJ	TUX	n/a
United Internet AG	DE0005089031	Deutsche Börse (Xetra)	Germany	UIW	UIO	UIB	UIV	n/a
Volkswagen AG	DE0007664005	Deutsche Börse (Xetra)	Germany	VBU	VBQ	VBJ	VBX	n/a
Volkswagen AG - PFD	DE0007664039	Deutsche Börse (Xetra)	Germany	VWU	VWQ	VWJ	VWX	n/a
Aegon NV	NL0000303709	Euronext Amsterdam	Netherlands	AFU	AFQ	AFJ	AFX	n/a
Ahold NV, Koninklijke	NL0006033250	Euronext Amsterdam	Netherlands	AHU	AHQ	AHJ	AHX	n/a
Akzo Nobel NV	NL0000009132	Euronext Amsterdam	Netherlands	AKU	AKQ	AKJ	AKX	n/a
AMG Advanced Metallurgical Group NV	NL0000888691	Euronext Amsterdam	Netherlands	XBM	XBT	XBC	XBN	n/a
Aperam SA	LU0569974404	Euronext Amsterdam	Netherlands	APE	APA	APR	API	n/a
Arcadis NV	NL0006237562	Euronext Amsterdam	Netherlands	WEW	WEO	WEB	WEV	n/a
ArcelorMittal	LU0323134006	Euronext Amsterdam	Netherlands	AMW	AMO	AMB	AMI	n/a
ASML Holding NV	NL0010273215	Euronext Amsterdam	Netherlands	FDU	FDQ	FDJ	FDX	n/a
BAM Groep NV, Koninklijke	NL0000337319	Euronext Amsterdam	Netherlands	KPW	KPC	KPB	KPV	n/a
Boskalis Westminster NV, Kon.	NL0000852580	Euronext Amsterdam	Netherlands	UBW	UBO	UBB	UBV	n/a
Brunel International NV	NL0000343432	Euronext Amsterdam	Netherlands	BUW	BUO	BUB	BUV	n/a
Corio NV	NL0000288967	Euronext Amsterdam	Netherlands	CDW	CDO	CDB	CDV	n/a
CSM NV	NL0000852549	Euronext Amsterdam	Netherlands	DKW	DKO	DKB	DKV	n/a
D.E MASTER BLENDEERS 1753 N.V.	NL0010157558	Euronext Amsterdam	Netherlands	DEV	DEA	DEE	DEM	n/a
Delta Lloyd NV	NL0009294552	Euronext Amsterdam	Netherlands	XDM	XDT	XDC	XDN	n/a
DSM NV, Koninklijke	NL0000009827	Euronext Amsterdam	Netherlands	DSU	DSQ	DSJ	DSX	n/a
Fugro NV	NL0000352565	Euronext Amsterdam	Netherlands	FJU	FJO	FJB	FJV	n/a
Gemalto NV	NL0000400653	Euronext Amsterdam	Netherlands	GMU	GMQ	GMJ	GMX	n/a
Heineken NV	NL0000009165	Euronext Amsterdam	Netherlands	HEU	HEQ	HEJ	HEX	n/a

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ING Groep NV	NL0000303600	Euronext Amsterdam	Netherlands	IAU	IAQ	IAJ	IAX	n/a
KPN NV, Koninklijke	NL0000009082	Euronext Amsterdam	Netherlands	KPU	KPQ	KPJ	KPX	n/a
Nieuwe Steen Investments NV	NL0000292324	Euronext Amsterdam	Netherlands	n/a	NS2	n/a	NS4	n/a
Philips NV, Kon.	NL0000009538	Euronext Amsterdam	Netherlands	PHU	PHQ	PHJ	PHX	n/a
PostNL N.V	NL0009739416	Euronext Amsterdam	Netherlands	POM	POO	POB	POV	n/a
Randstad Holding NV	NL0000379121	Euronext Amsterdam	Netherlands	RFW	RFO	RFB	RFV	n/a
Reed Elsevier NV	NL0006144495	Euronext Amsterdam	Netherlands	RKU	RKQ	RKJ	RKX	n/a
Royal Dutch Shell plc A Euro	GB00B03MLX29	Euronext Amsterdam	Netherlands	RDU	RDQ	RDJ	RDY	n/a
Royal Imtech NV	NL0006055329	Euronext Amsterdam	Netherlands	IMD	IMH	IMW	IMO	n/a
SBM Offshore NV	NL0000360618	Euronext Amsterdam	Netherlands	ILU	ILQ	ILJ	ILX	n/a
Ten Cate NV, Koninklijke	NL0000375749	Euronext Amsterdam	Netherlands	KTW	KTO	KTJ	KTX	n/a
TKH Group NV	NL0000852523	Euronext Amsterdam	Netherlands	n/a	TKP	n/a	TKE	n/a
TNT Express NV	NL0009739424	Euronext Amsterdam	Netherlands	TNM	TNA	TNC	TNV	n/a
TomTom NV	NL0000387058	Euronext Amsterdam	Netherlands	XWU	XWQ	XWJ	XWX	n/a
Unibail-Rodamco SE	FR0000124711	Euronext Amsterdam	Netherlands	UFU	UFQ	UFJ	UFX	n/a
Unilever NV	NL0000009355	Euronext Amsterdam	Netherlands	UNU	UNQ	UNJ	UNX	n/a
UNIT4 NV	NL0000389096	Euronext Amsterdam	Netherlands	UTE	UTA	UTR	UTI	n/a
Wereldhave NV	NL0000289213	Euronext Amsterdam	Netherlands	WFW	WFO	WFB	WVW	n/a
Wolters Kluwer NV	NL0000395903	Euronext Amsterdam	Netherlands	WКУ	WКQ	WКJ	WКX	n/a
Ziggo NV	NL0006294290	Euronext Amsterdam	Netherlands	ZGU	ZGQ	ZGJ	ZGX	n/a
Ackermans & Van Haaren	BE0003764785	Euronext Brussels	Belgium	AVR	AVG	AVB	AVV	n/a
ageas NV [Belgium]	BE0974264930	Euronext Brussels	Belgium	JZU	JZQ	JZJ	JZX	n/a
ageas NV [Netherlands]	BE0974264930	Euronext Brussels	Belgium	FOU	FOQ	FOJ	FOX	n/a
AGFA-Gevaert NV	BE0003755692	Euronext Brussels	Belgium	QJU	QJQ	QJJ	QJX	n/a
Anheuser-Busch InBev NV	BE0003793107	Euronext Brussels	Belgium	IGU	IGQ	IGJ	IGX	n/a
Barco NV	BE0003790079	Euronext Brussels	Belgium	VUU	VUQ	VUJ	VUX	n/a
Bekaert NV	BE0974258874	Euronext Brussels	Belgium	VWM	VWT	VWC	VWN	n/a
Belgacom SA	BE0003810273	Euronext Brussels	Belgium	BEU	BEQ	BEJ	BEX	n/a
Colruyt SA	BE0974256852	Euronext Brussels	Belgium	JFU	JFQ	JFJ	JFX	n/a
Delhaize Group	BE0003562700	Euronext Brussels	Belgium	FQU	FQQ	FQJ	FQX	n/a
Galapagos NV	BE0003818359	Euronext Brussels	Belgium	n/a	GA5	n/a	GA8	n/a
Groupe Bruxelles Lambert SA	BE0003797140	Euronext Brussels	Belgium	GBU	GBQ	GBJ	GBX	n/a
KBC Groep NV	BE0003565737	Euronext Brussels	Belgium	KBU	KBQ	KBJ	KBX	n/a
Mobistar SA	BE0003735496	Euronext Brussels	Belgium	KUU	KUQ	KUJ	KUX	n/a
Solvay SA	BE0003470755	Euronext Brussels	Belgium	SOU	SOQ	SOJ	SOX	n/a
UCB SA	BE0003739530	Euronext Brussels	Belgium	UEU	UEQ	UEJ	UEX	n/a
Umicore	BE0003884047	Euronext Brussels	Belgium	UMU	UMQ	UMJ	UMX	n/a
Banco Comercial Portugues	PTBCP0AM0007	Euronext Lisbon	Portugal	PMU	PMQ	PMJ	PMX	n/a
Energias de Portugal SA	PTEDP0AM0009	Euronext Lisbon	Portugal	EDU	EDQ	EDJ	EDX	n/a
Galp Energia SGPS S.A.	PTGAL0AM0009	Euronext Lisbon	Portugal	LWU	LWQ	LWJ	LWX	n/a
Portugal Telecom SGPS SA	PTPTCOAM0009	Euronext Lisbon	Portugal	PTU	PTQ	PTJ	PTX	n/a
Accor	FR0000120404	Euronext Paris	France	AAW	AAT	AAC	AAN	n/a
Aeroport de Paris	FR0010340141	Euronext Paris	France	AD1	AD2	AD3	AD4	n/a
Air France-KLM	FR0000031122	Euronext Paris	France	AQW	AQO	AQB	AQV	n/a
Air Liquide	FR0000120073	Euronext Paris	France	AIU	AIQ	AIJ	AIX	n/a
Alcatel-Lucent SA	FR0000130007	Euronext Paris	France	CGU	CGQ	CGJ	CGX	n/a
Alstom SA	FR0010220475	Euronext Paris	France	ZWU	ZWQ	ZWJ	ZWX	n/a
Arkema	FR0010313833	Euronext Paris	France	AKW	AKO	AKR	AKI	n/a
Atos	FR0000051732	Euronext Paris	France	ACW	ACO	ACB	ACV	n/a
AXA SA	FR0000120628	Euronext Paris	France	CJU	CJQ	CJJ	CJX	n/a
BNP Paribas	FR0000131104	Euronext Paris	France	BNU	BNQ	BNJ	BNX	n/a
Bouygues	FR0000120503	Euronext Paris	France	EHU	EHQ	EHJ	EHX	n/a
Cap Gemini SA	FR0000125338	Euronext Paris	France	QIU	QIQ	QIJ	QIX	n/a
Carrefour SA	FR0000120172	Euronext Paris	France	CMU	CMQ	CMJ	CMX	n/a

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				American style		European style		American style
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Casino Guichard Perrachon SA	FR0000125585	Euronext Paris	France	FBU	FBQ	FBJ	FBX	n/a
Christian Dior SA	FR0000130403	Euronext Paris	France	CDU	CDQ	CDJ	CDX	n/a
Cie de Saint-Gobain	FR0000125007	Euronext Paris	France	SGU	SGQ	SGJ	SGX	n/a
CNP Assurances	FR0000120222	Euronext Paris	France	CNU	CNQ	CNJ	CNX	n/a
Credit Agricole SA	FR0000045072	Euronext Paris	France	ACU	ACQ	ACJ	ACX	n/a
Dassault Systemes SA	FR0000130650	Euronext Paris	France	QOU	QQQ	QOJ	QOX	n/a
Electricite de France	FR0010242511	Euronext Paris	France	DAU	DAQ	DAJ	DAX	n/a
Essilor International SA	FR0000121667	Euronext Paris	France	EFU	EFQ	EFJ	EFX	n/a
Euro Aero Defense and Space	NL0000235190	Euronext Paris	France	EAU	EAQ	EAJ	EAX	n/a
Orange SA	FR0000133308	Euronext Paris	France	FTU	FTQ	FTJ	FTX	n/a
GDF Suez	FR0010208488	Euronext Paris	France	GDU	GDQ	GDJ	GDY	n/a
Groupe Danone	FR0000120644	Euronext Paris	France	BKU	BKQ	BKJ	BKX	n/a
Havas SA	FR0000121881	Euronext Paris	France	HHM	HHT	HHC	HHN	n/a
Kering SA ex event	FR0000121485	Euronext Paris	France	n/a	PPQ	n/a	n/a	n/a
Lafarge SA	FR0000120537	Euronext Paris	France	LAU	LAQ	LAJ	LAX	n/a
Lagardere S.C.A.	FR0000130213	Euronext Paris	France	MMU	MMQ	MMJ	MMX	n/a
Legrand SA	FR0010307819	Euronext Paris	France	LRM	LRT	LRC	LRN	n/a
L'Oreal SA	FR0000120321	Euronext Paris	France	ORU	ORQ	ORJ	ORX	n/a
LVMH Moet Hennessy Louis V	FR0000121014	Euronext Paris	France	MDU	MDQ	MDJ	MDX	n/a
Michelin	FR0000121261	Euronext Paris	France	MLU	MLQ	MLJ	MLX	n/a
Natixis	FR0000120685	Euronext Paris	France	KNW	KNO	KNB	KNV	n/a
NYSE Euronext	US6294911010	Euronext Paris	France	NYW	NYO	NYB	NYV	n/a
Pernod-Ricard	FR0000120693	Euronext Paris	France	RJU	RJQ	RJJ	RJX	n/a
Peugeot SA	FR0000121501	Euronext Paris	France	UGU	UGQ	UGJ	UGX	n/a
Publicis Groupe	FR0000130577	Euronext Paris	France	PUU	PUQ	PUJ	PUX	n/a
Remy Cointreau	FR0000130395	Euronext Paris	France	RE1	RE2	RE3	RE4	n/a
Renault SA	FR0000131906	Euronext Paris	France	RNU	RNQ	RNJ	RNX	n/a
Safran SA	FR0000073272	Euronext Paris	France	ZAW	ZAQ	ZAJ	ZAV	n/a
Sanofi	FR0000120578	Euronext Paris	France	SFU	SFQ	SFJ	SFX	n/a
Schneider Electric SA	FR0000121972	Euronext Paris	France	SUU	SUQ	SUJ	SUX	n/a
SCOR SE	FR0010411983	Euronext Paris	France	YPW	YPO	YPB	YPV	n/a
Societe BIC SA	FR0000120966	Euronext Paris	France	BB5	BB6	BB7	BB8	n/a
Societe Generale	FR0000130809	Euronext Paris	France	GLU	GLQ	GLJ	GLX	n/a
Societe Telev Francaise 1	FR0000054900	Euronext Paris	France	TFU	TFQ	TFJ	TFX	n/a
Sodexo SA	FR0000121220	Euronext Paris	France	XEU	XEQ	XEJ	XEX	n/a
STMicroelectronics NV	NL0000226223	Euronext Paris	France	XKU	XKQ	XKJ	XKX	n/a
Suez Environnement SA	FR0010613471	Euronext Paris	France	QQW	QQO	QQB	QQV	n/a
Technicolor SA	FR0010918292	Euronext Paris	France	TMU	TMQ	TMJ	TMX	n/a
Technip SA	FR0000131708	Euronext Paris	France	TDW	TDO	TDB	TDV	n/a
Thales SA	FR0000121329	Euronext Paris	France	HCU	HCQ	HCJ	HCX	n/a
Total SA	FR0000120271	Euronext Paris	France	TZU	TZQ	TZJ	TZX	n/a
Valeo SA	FR0000130338	Euronext Paris	France	VAW	VAO	VAB	VAV	n/a
Vallourec SA	FR0000120354	Euronext Paris	France	OZU	OZQ	OZJ	OZX	n/a
Veolia Environnement	FR0000124141	Euronext Paris	France	VIU	VIQ	VIJ	VIX	n/a
Vinci SA	FR0000125486	Euronext Paris	France	DFU	DFQ	DFJ	DFX	n/a
Vivendi SA	FR0000127771	Euronext Paris	France	EXU	EXQ	EXJ	EXX	n/a
Elisa Oyj	FI0009007884	Helsinki Stock Exchange	Finland	ELW	ELO	ELB	ELV	n/a
Fortum Oyj	FI0009007132	Helsinki Stock Exchange	Finland	FUU	FUQ	FUJ	FUX	n/a
Metso	FI0009007835	Helsinki Stock Exchange	Finland	MOU	MOQ	MOJ	MOX	n/a
Neste Oil OYJ	FI0009013296	Helsinki Stock Exchange	Finland	NTW	NTO	NTB	NTV	n/a
Nokia OYJ	FI0009000681	Helsinki Stock Exchange	Finland	NBU	NBQ	NBJ	NBX	n/a
Nokian Reenkat	FI0009005318	Helsinki Stock Exchange	Finland	NKU	NKQ	NKJ	NKX	n/a
Outokumpu Oyj	FI0009002422	Helsinki Stock Exchange	Finland	OEU	OEO	OEB	OEV	n/a
Rautaruukki	FI0009003552	Helsinki Stock Exchange	Finland	RVU	RVQ	RVJ	RVX	n/a
Sampo Oyj	FI0009003305	Helsinki Stock Exchange	Finland	FAU	FAQ	FAJ	FAX	n/a
Stora Enso Oyj	FI0009005961	Helsinki Stock Exchange	Finland	DKU	DKQ	DKJ	DKX	n/a
UPM-Kymmene Oyj	FI0009005987	Helsinki Stock Exchange	Finland	UAU	UAQ	UAJ	UAX	n/a
CRH PLC	IE0001827041	Irish Stock Exchange	Ireland	CRW	CRT	CRB	CRV	n/a

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				American style		European style		American style
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Elan Corp PLC	IE0003072950	Irish Stock Exchange	Ireland	ELP	ELA	ELC	ELN	n/a
Kerry Group PLC	IE0004906560	Irish Stock Exchange	Ireland	KYW	KYO	KYB	KYV	n/a
Ryanair Holdings plc	IE00B1GKF381	Irish Stock Exchange	Ireland	RYW	RYO	RYB	RYV	n/a
3i Group plc	GB00B1YW4409	London Stock Exchange	UK	IIU	IIQ	IIJ	IIX	III
Anglo American plc	GB00B1XZS820	London Stock Exchange	UK	AHW	AHO	AHB	AHV	AHA
Antofagasta plc	GB0000456144	London Stock Exchange	UK	KFU	KFQ	KFJ	KFX	ATT
Arm Holdings plc	GB0000595859	London Stock Exchange	UK	MWU	MWQ	MWJ	MWX	ARM
Associated British Foods plc	GB0006731235	London Stock Exchange	UK	ZBW	ZBO	ZBB	ZBV	ABR
AstraZeneca plc	GB0009895292	London Stock Exchange	UK	AZU	AZQ	AZJ	AZX	AZA
Aviva plc	GB0002162385	London Stock Exchange	UK	AVU	AVQ	AVJ	AVX	CUA
BAE Systems plc	GB0002634946	London Stock Exchange	UK	BAU	BAQ	BAJ	BAX	AER
Barclays plc	GB0031348658	London Stock Exchange	UK	BBU	BBQ	BBJ	BBX	BBL
Barratt Developments Plc	GB0000811801	London Stock Exchange	UK	BD1	BD2	BD3	BD4	BDV
BG Group plc	GB0008762899	London Stock Exchange	UK	BGU	BGQ	BGJ	BGX	BGG
BHP Billiton plc	GB0000566504	London Stock Exchange	UK	BHU	BHQ	BHJ	BHX	BLT
BP plc	GB0007980591	London Stock Exchange	UK	BPU	BPQ	BPJ	BPX	BP
British American Tobacco plc	GB0002875804	London Stock Exchange	UK	TBU	TBQ	TBJ	TBX	TAB
British Land Company PLC	GB0001367019	London Stock Exchange	UK	XBW	XBO	XBB	XBV	BLC
British Sky Broadcasting Group plc	GB0001411924	London Stock Exchange	UK	BSU	BSQ	BSJ	BSX	BSK
BT Group plc	GB0030913577	London Stock Exchange	UK	BTU	BTQ	BTJ	BTX	BTG
Burberry Group	GB0031743007	London Stock Exchange	UK	BRU	BRA	BRR	BRH	BRW
Cable & Wireless Communications plc	GB00B5KKT968	London Stock Exchange	UK	CCW	CCQ	CCB	CCV	CCT
Cairn Energy plc	GB00B74CDH82	London Stock Exchange	UK	KEU	KEQ	KEJ	KEX	CNG
Carnival plc	GB0031215220	London Stock Exchange	UK	DJU	DJQ	DJJ	DJX	POC
Centrica plc	GB00B033F229	London Stock Exchange	UK	CRU	CRQ	CRJ	CRX	CTR
Compass Group plc	GB0005331532	London Stock Exchange	UK	CPU	CPQ	CPJ	CPX	CPG
CRH PLC (UK)	IE0001827041	London Stock Exchange	UK	CR6	CR2	CR3	CR4	CRM
Diageo plc	GB0002374006	London Stock Exchange	UK	DGU	DGQ	DGJ	DGX	GNS
Direct Line Insurance Group Plc	GB00B89W0M42	London Stock Exchange	UK	DL1	DL2	DL3	DL4	DLE
Dixons Retail Plc	GB0000472455	London Stock Exchange	UK	DXU	DXQ	DXJ	DXX	DIX
Essar Energy plc	GB00B55XPF57	London Stock Exchange	UK	ESW	ESO	ESB	ESV	ESR
Eurasian Natural Resources Corp	GB00B29BCK10	London Stock Exchange	UK	ERW	ERT	ERB	ZLV	EUN
Evraz Plc	GB00B71N6K86	London Stock Exchange	UK	EV6	EV7	EV8	EV9	EVR
Experian Plc	GB00B19NLV48	London Stock Exchange	UK	XPU	XPQ	XPJ	XPX	EXP
Fresnillo Plc	GB00B2QPKJ12	London Stock Exchange	UK	FR3	FR4	FR5	FR6	FRN
GlaxoSmithKline plc	GB0009252882	London Stock Exchange	UK	GZU	GSQ	GSJ	GSX	GXO
Glencore Xstrata plc	JE00B4T3BW64	London Stock Exchange	UK	GLW	GLO	GLB	GLV	GL
HSBC Holdings plc	GB0005405286	London Stock Exchange	UK	HSU	HSQ	HSJ	HSX	HSB
ICAP plc	GB0033872168	London Stock Exchange	UK	IWW	IWO	IWB	IWV	ICA
Imperial Tobacco Group plc	GB0004544929	London Stock Exchange	UK	IMU	IMQ	IMJ	IMX	IMP
Intercontinental Hotels Group plc	GB00B85KYF37	London Stock Exchange	UK	IHU	IHQ	IHJ	IHX	IHG
International Consolidated Airlines Group S	ES0177542018	London Stock Exchange	UK	BYU	BYQ	BYJ	BYX	AWS
Invensys plc	GB00B979H674	London Stock Exchange	UK	IQU	IQQ	IQJ	IVX	BRT
Investec plc	GB00B17BBQ50	London Stock Exchange	UK	INU	INQ	INJ	INN	INS
ITV plc	GB0033986497	London Stock Exchange	UK	ITU	ITQ	ITJ	ITX	GME
Kazakhmys plc	GB00B0HZPV38	London Stock Exchange	UK	KAU	KAQ	KAJ	KAX	KZK
Kingfisher plc	GB0033195214	London Stock Exchange	UK	KGU	KGQ	KGJ	KGX	KGF
Ladbroke's plc	GB00B0ZSH635	London Stock Exchange	UK	HIU	HIQ	HIJ	HIX	LDB
Land Securities Group plc	GB0031809436	London Stock Exchange	UK	LSU	LSQ	LSJ	LSX	LS
Legal & General Group plc	GB0005603997	London Stock Exchange	UK	LGU	LGQ	LGJ	LGX	LGE
Lloyds Banking Group plc	GB0008706128	London Stock Exchange	UK	LLU	LLQ	LLJ	LLX	TSB
London Stock Exchange Group plc	GB00B0SWMX34	London Stock Exchange	UK	LEU	LEQ	LEJ	LEX	LSE

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Lonmin plc	GB0031192486	London Stock Exchange	UK	LMW	LMM	LMB	LMV	LNM
Man Group plc	GB00B83VD954	London Stock Exchange	UK	EWU	EWQ	EWJ	EWX	EMG
Marks & Spencer Group plc	GB0031274896	London Stock Exchange	UK	MSU	MSQ	MSJ	MSX	M+S
Morrison (WM) Supermarkets plc	GB0006043169	London Stock Exchange	UK	MIU	MIQ	MIJ	MIX	MWR
National Grid plc	GB00B08SNH34	London Stock Exchange	UK	NGU	NGQ	NGJ	NGX	NGG
Next plc	GB0032089863	London Stock Exchange	UK	NXU	NXQ	NXJ	NXX	NXT
Old Mutual plc	GB00B77J0862	London Stock Exchange	UK	ODW	ODO	ODB	ODV	OMT
Pearson plc	GB0006776081	London Stock Exchange	UK	POU	POQ	POJ	POX	PSO
Petrofac Ltd.	GB00B0H2K534	London Stock Exchange	UK	PEW	PEO	PEB	PEV	PET
Prudential plc	GB0007099541	London Stock Exchange	UK	PSU	PRQ	PRJ	PRX	PRU
Randgold Resources LTD	GB00B01C3S32	London Stock Exchange	UK	WJM	WJT	WJC	WJN	RAR
Reckitt Benckiser Group Plc	GB00B24CGK77	London Stock Exchange	UK	RGU	RGQ	RGJ	RGX	RB
Reed Elsevier plc	GB00B2B0DG97	London Stock Exchange	UK	REU	REQ	REJ	REX	REI
Rentokil Initial plc	GB00B082RF11	London Stock Exchange	UK	RLU	RLQ	RLJ	RLX	RTO
Rio Tinto plc	GB0007188757	London Stock Exchange	UK	RIU	RIQ	RIJ	RIX	RTZ
Rolls-Royce Group plc	GB00B63H8491	London Stock Exchange	UK	RRU	RRQ	RRJ	RRX	RR
Royal Bank of Scotland Group plc	GB00B7177214	London Stock Exchange	UK	RBU	RBQ	RBJ	RBX	RBS
Royal Dutch Shell plc A share	GB00B03MLX29	London Stock Exchange	UK	RZU	RZQ	RZJ	SX	SHA
Royal Dutch Shell plc B share	GB00B03MM408	London Stock Exchange	UK	SHU	SHQ	SHJ	SHX	SHL
RSA Insurance Group Plc	GB0006616899	London Stock Exchange	UK	RSU	RSQ	RSJ	RSX	RYL
SABMiller plc	GB0004835483	London Stock Exchange	UK	APU	APQ	APJ	APX	SAB
Sage Group plc (the)	GB00B8C3BL03	London Stock Exchange	UK	QLU	QLQ	QLJ	QLX	SGE
Sainsbury (J) plc	GB00B019KW72	London Stock Exchange	UK	SAU	SAQ	SAJ	SAX	SAN
Severn Trent plc	GB00B1FH8J72	London Stock Exchange	UK	WKM	WKT	WKC	WKN	SVT
Shire PLC	JE00B2QKY057	London Stock Exchange	UK	SRU	SRQ	SRJ	SRX	SHP
Smith & Nephew plc	GB0009223206	London Stock Exchange	UK	SNU	SNQ	SNJ	SNX	SNP
SSE Plc	GB0007908733	London Stock Exchange	UK	SSU	SSQ	SSJ	SSX	SSE
Standard Chartered plc	GB0004082847	London Stock Exchange	UK	SCU	SCQ	SCJ	SCX	SCB
Standard Life plc	GB00B16KPT44	London Stock Exchange	UK	LFU	LFQ	LFJ	LFX	LFI
Tate & Lyle plc	GB0008754136	London Stock Exchange	UK	OTU	OTQ	OTJ	OTX	TAT
Tesco plc	GB0008847096	London Stock Exchange	UK	TCU	TCQ	TCJ	TCX	TCO
Tullow Oil plc	GB0001500809	London Stock Exchange	UK	TXW	TXQ	TXB	TXV	TLO
Unilever plc	GB00B10RZP78	London Stock Exchange	UK	ULU	ULQ	ULJ	ULX	ULV
United Utilities plc	GB00B39J2M42	London Stock Exchange	UK	UUU	UUQ	UUJ	UUX	UUL
Vedanta Resources plc	GB0033277061	London Stock Exchange	UK	VVW	VVO	VVB	VVV	VRS
Vodafone Group plc	GB00B16GWD56	London Stock Exchange	UK	VOU	VOQ	VOJ	VOX	VOD
Whitbread plc	GB00B1KJJ408	London Stock Exchange	UK	WTU	WTQ	WTJ	WTX	WTB
William Hill plc	GB0031698896	London Stock Exchange	UK	WAU	WAQ	WAJ	WAX	WHL
Wolseley Plc	JE00B8N69M54	London Stock Exchange	UK	WLU	WLQ	WLJ	WLX	WSY
WPP plc	JE00B8KF9B4	London Stock Exchange	UK	WPU	WPQ	WPJ	WPX	WPP
Gazprom (OAO) GDS	US3682872078	LSE (International Order Book)	Russia	OGW	OGO	OGB	OGV	n/a
Lukoil OAO GDR	US6778621044	LSE (International Order Book)	Russia	LYW	LYO	LYB	LYV	n/a
MMC Norilsk Nickel GDR	US46626D1081	LSE (International Order Book)	Russia	MOW	MOO	MNJ	MOV	n/a
Rosneft (OJSC OC) GDR	US67812M2070	LSE (International Order Book)	Russia	ROW	ROO	ROB	ROV	n/a
RusHydro	US4662941057	LSE (International Order Book)	Russia	RSM	RST	RSC	RSN	n/a
Sberbank Rossii GDR	US80585Y3080	LSE (International Order Book)	Russia	SEW	SPO	SPB	SPV	n/a
Surgutneftegaz GDR	US8688612048	LSE (International Order Book)	Russia	GGW	GGO	GGB	GGV	n/a
Tatneft (AO) GDR	US6708312052	LSE (International Order Book)	Russia	TFM	TFT	TFC	TFN	n/a
VTB Bank OJSC	US46630Q2021	LSE (International Order Book)	Russia	VTE	VTA	VTR	VTV	n/a
Abertis Infraestructuras SA	ES0111845014	Madrid Stock Exchange	Spain	CYU	CYQ	CYJ	CYX	n/a
Acciona SA	ES0125220311	Madrid Stock Exchange	Spain	LJW	LJO	LJB	LJV	n/a
Acerinox SA	ES0132105018	Madrid Stock Exchange	Spain	QFU	QFQ	QFJ	QFX	n/a
ACS Actividades Cons y Serv	ES0167050915	Madrid Stock Exchange	Spain	ONU	ONQ	ONJ	ONX	n/a
Atresmedia Corporacion de Medios de Com	ES0109427734	Madrid Stock Exchange	Spain	OSU	OSQ	OSJ	OSX	n/a
Banco Bilbao Vizcaya Argent	ES0113211835	Madrid Stock Exchange	Spain	BDU	BDQ	BDJ	BDX	n/a

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Company Name	ISIN	Relevant Stock Exchange	Relevant Country	Flexible contracts (Bclear only)				Standard contracts (Bclear and Central Order Book)
				American style		European style		American style
				Cash settled	Physically delivered	Cash settled	Physically delivered	Physically delivered
Banco de Sabadell SA	ES0113860A34	Madrid Stock Exchange	Spain	TVW	TVO	TVB	TVX	n/a
Banco Popular Espanol SA	ES0113790226	Madrid Stock Exchange	Spain	PAU	PAQ	PAJ	PAX	n/a
Banco Santander SA	ES0113900J37	Madrid Stock Exchange	Spain	SJU	SJQ	SJJ	SJX	n/a
Bankinter SA	ES0113679I37	Madrid Stock Exchange	Spain	UZU	UZQ	UZJ	UZX	n/a
Bolsas y Mercados Espanoles	ES0115056139	Madrid Stock Exchange	Spain	BXW	BXG	BXB	BXV	n/a
Criteria Caixacorp SA	ES0140609019	Madrid Stock Exchange	Spain	CIE	CIA	CIG	CII	n/a
Enagas	ES0130960018	Madrid Stock Exchange	Spain	JQW	JQO	JQB	JQV	n/a
Ferrovial SA	ES0118900010	Madrid Stock Exchange	Spain	JCW	JCO	JCB	JCV	n/a
Fomento de Construcciones SA	ES0122060314	Madrid Stock Exchange	Spain	KWU	KWQ	KWJ	KWX	n/a
Gamesa Corp Tecnologica SA	ES0143416115	Madrid Stock Exchange	Spain	KCU	KCQ	KCJ	KCX	n/a
Gas Natural SDG SA	ES0116870314	Madrid Stock Exchange	Spain	GAU	GAQ	GAJ	GAX	n/a
Iberdrola SA	ES0144580Y14	Madrid Stock Exchange	Spain	IEU	IEQ	IEJ	IEX	n/a
Inditex SA	ES0148396015	Madrid Stock Exchange	Spain	IJU	IJQ	IJJ	IJX	n/a
Indra Sistemas SA	ES0118594417	Madrid Stock Exchange	Spain	IZU	IZQ	IZJ	IZX	n/a
Mapfre SA	ES0124244E34	Madrid Stock Exchange	Spain	OCU	OCQ	OCJ	OCX	n/a
Mediaset Espana Comunicacion	ES0152503035	Madrid Stock Exchange	Spain	GQU	GQQ	GQJ	GQX	n/a
NH Hoteles SA	ES0161560018	Madrid Stock Exchange	Spain	NJU	NJQ	NJJ	NJX	n/a
Red Eléctrica Corporación SA	ES0173093115	Madrid Stock Exchange	Spain	WVW	WVO	WVB	WVV	n/a
Repsol YPF SA	ES0173516115	Madrid Stock Exchange	Spain	RAU	RAQ	RAJ	RAX	n/a
Sacyr Vallehermoso SA	ES0182870214	Madrid Stock Exchange	Spain	VYW	VYO	VYB	VYV	n/a
Telefonica SA	ES0178430E18	Madrid Stock Exchange	Spain	TEU	TEQ	TEJ	TEX	n/a
DNB NOR ASA	NO0010031479	Oslo Stock Exchange	Norway	DDU	DDQ	DDJ	DDX	n/a
Marine Harvest	NO0003054108	Oslo Stock Exchange	Norway	MHW	MHO	MHB	MHV	n/a
Norske Skogindustrier ASA	NO0004135633	Oslo Stock Exchange	Norway	NRW	NRO	NRB	NRV	n/a
Orkla ASA	NO0003733800	Oslo Stock Exchange	Norway	OKU	OKQ	OKJ	OKX	n/a
Seadrill Ltd.	BMG7945E1057	Oslo Stock Exchange	Norway	STW	STO	SSB	STV	n/a
Statoil ASA	NO0010096985	Oslo Stock Exchange	Norway	SQU	SQQ	SQJ	SQX	n/a
Storebrand ASA	NO0003053605	Oslo Stock Exchange	Norway	SUW	SUO	SUB	SUV	n/a
Telenor ASA	NO0010063308	Oslo Stock Exchange	Norway	TQU	TQQ	TQJ	TOX	n/a
Tomra Systems ASA	NO0005668905	Oslo Stock Exchange	Norway	TOW	TOO	TOB	TOV	n/a
Yara International ASA	NO0010208051	Oslo Stock Exchange	Norway	YAW	YAO	YAB	YAV	n/a
ABB Ltd (ST)	CH0012221716	SIX Swiss Exchange	Switzerland	ABU	ABQ	ABJ	ABX	n/a
Actelion Ltd	CH0010532478	SIX Swiss Exchange	Switzerland	YKU	YKQ	YKJ	YKX	n/a
Adecco SA	CH0012138605	SIX Swiss Exchange	Switzerland	QVU	QVQ	QVJ	QVX	n/a
Baloise Holding AG	CH0012410517	SIX Swiss Exchange	Switzerland	OIU	OIQ	OIJ	OIX	n/a
Clariant N	CH0012142631	SIX Swiss Exchange	Switzerland	VTU	VTQ	VTJ	VTX	n/a
Credit Suisse Group	CH0012138530	SIX Swiss Exchange	Switzerland	CHU	CHQ	CHJ	CHX	n/a
GAM Holding Ltd	CH0102659627	SIX Swiss Exchange	Switzerland	PKU	PKQ	PKJ	PKX	n/a
Geberit AG	CH0030170408	SIX Swiss Exchange	Switzerland	YMU	YMQ	YMJ	YMX	n/a
Givaudan	CH0010645932	SIX Swiss Exchange	Switzerland	GIU	GIQ	GIJ	GIX	n/a
Holcim Ltd	CH0012214059	SIX Swiss Exchange	Switzerland	HOU	HOQ	HOJ	HOX	n/a
Julius Baer Gruppe	CH0102484968	SIX Swiss Exchange	Switzerland	BA1	BA2	BA3	BA4	n/a
Kudelski SA	CH0012268360	SIX Swiss Exchange	Switzerland	KHU	KHQ	KHJ	KHX	n/a
Kuehne & Nagel International AG	CH0025238863	SIX Swiss Exchange	Switzerland	YQU	YQQ	YQJ	YQX	n/a
Logitech International SA	CH0025751329	SIX Swiss Exchange	Switzerland	YTU	YTQ	YTJ	YTX	n/a
Lonza Group AG	CH0013841017	SIX Swiss Exchange	Switzerland	PVU	PVQ	PVJ	PVX	n/a
Nestle SA	CH0038863350	SIX Swiss Exchange	Switzerland	NEU	NEQ	NEJ	NEX	n/a
Nobel Biocare Holding AG	CH0037851646	SIX Swiss Exchange	Switzerland	YRU	YRQ	YRJ	YRX	n/a
Novartis AG	CH0012005267	SIX Swiss Exchange	Switzerland	NAU	NAQ	NAJ	NAX	n/a
OC Oerlikon Corp AG	CH0000816824	SIX Swiss Exchange	Switzerland	UXU	UXQ	UXJ	UXX	n/a

List of Eligible Options for Offer and Sale to US Entities as at 3 July 2013

Options on the following company shares (Exchange Contracts No. 201 and/or No. 201F) (and for the purpose of UK Stock Contingent Trades, Exchange Contract No. 211) are Eligible Options

Company Name	ISIN	Relevant Stock Exchange	Relevant Country	Flexible contracts (Bclear only)				Standard contracts (Bclear and Central Order Book)
				American style		European style		American style
				Cash settled	Physically delivered	Cash settled	Physically delivered	Physically delivered
Roche Holding AG	CH0012032048	SIX Swiss Exchange	Switzerland	ROU	ROQ	ROJ	ROX	n/a
SGS SA	CH0002497458	SIX Swiss Exchange	Switzerland	WGU	WGQ	WGJ	WGX	n/a
Sonova Holding AG	CH0012549785	SIX Swiss Exchange	Switzerland	YUU	YUQ	YUJ	YUX	n/a
Sulzer AG	CH0038388911	SIX Swiss Exchange	Switzerland	YVU	YVQ	YVJ	YVX	n/a
Swatch Group AG - N	CH0012255144	SIX Swiss Exchange	Switzerland	UIU	UIQ	UIJ	UIX	n/a
Swiss Life Holding	CH0014852781	SIX Swiss Exchange	Switzerland	WDU	WDQ	WDJ	WDX	n/a
Swiss Re Ltd	CH0126881561	SIX Swiss Exchange	Switzerland	RFU	RFQ	RFJ	RFX	n/a
Swisscom AG	CH0008742519	SIX Swiss Exchange	Switzerland	SWU	SWQ	SWJ	SWX	n/a
Syngenta AG	CH0011037469	SIX Swiss Exchange	Switzerland	CUU	CUQ	CUJ	CUX	n/a
UBS AG	CH0024899483	SIX Swiss Exchange	Switzerland	UBU	UBQ	UBJ	UBX	n/a
Zurich Insurance Group AG	CH0011075394	SIX Swiss Exchange	Switzerland	ZUU	ZUQ	ZUJ	ZUX	n/a
ABB Ltd	CH0012221716	Stockholm Stock Exchange	Sweden	OBU	OBQ	OBJ	OBX	n/a
Alfa Laval AB	SE0000695876	Stockholm Stock Exchange	Sweden	AJW	AJO	AJB	AJV	n/a
Assa Abloy AB	SE0000255648	Stockholm Stock Exchange	Sweden	LRU	LRQ	LRJ	LRX	n/a
AstraZeneca plc	GB0009895292	Stockholm Stock Exchange	Sweden	ZNU	ZNQ	ZNJ	ZNX	n/a
Atlas Copco AB A shares	SE0000101032	Stockholm Stock Exchange	Sweden	ATU	ATQ	ATJ	ATX	n/a
Boliden AB	SE0000869646	Stockholm Stock Exchange	Sweden	BLW	BLO	BLB	BLV	n/a
Electrolux AB ser. B	SE0000103814	Stockholm Stock Exchange	Sweden	FYU	FYQ	FYJ	FYX	n/a
Eniro AB	SE0000718017	Stockholm Stock Exchange	Sweden	EEW	EEO	EEB	EEV	n/a
Ericsson Telefona L M ser. B	SE0000108656	Stockholm Stock Exchange	Sweden	ERU	ERQ	ERJ	ERX	n/a
Hennes & Mauritz AB ser. B	SE0000106270	Stockholm Stock Exchange	Sweden	HMU	HMQ	HMJ	HMX	n/a
Holmen AB	SE0000109290	Stockholm Stock Exchange	Sweden	HPW	HPO	HPB	HPV	n/a
Husqvarna AB	SE0001662230	Stockholm Stock Exchange	Sweden	HUW	HUO	HUB	HUV	n/a
Investor AB B shares	SE0000107419	Stockholm Stock Exchange	Sweden	IKU	IKQ	IKJ	IKX	n/a
Kinnevik Investment AB	SE0000164626	Stockholm Stock Exchange	Sweden	KIW	KIO	KIB	KIV	n/a
Lundin Petroleum AB	SE0000825820	Stockholm Stock Exchange	Sweden	LUW	LUO	LUB	LUV	n/a
Modern Times Group AB	SE0000412371	Stockholm Stock Exchange	Sweden	MIW	MIO	MIB	MIV	n/a
Nordea Bank AB	SE0000427361	Stockholm Stock Exchange	Sweden	NDU	NDQ	NDJ	NDX	n/a
Sandvik AB	SE0000667891	Stockholm Stock Exchange	Sweden	DHU	DHQ	DHJ	DHX	n/a
Scania AB-B SHS	SE0000308280	Stockholm Stock Exchange	Sweden	SXW	SXO	SXB	SXV	n/a
Securitas AB ser. B	SE0000163594	Stockholm Stock Exchange	Sweden	QPU	QPQ	QPJ	QPX	n/a
Skandinaviska Ensk Bk ser. A	SE0000148884	Stockholm Stock Exchange	Sweden	QSU	QSQ	QSJ	QSX	n/a
Skanska AB B Shares	SE0000113250	Stockholm Stock Exchange	Sweden	XFU	XFQ	XFJ	XFJ	n/a
SKF B	SE0000108227	Stockholm Stock Exchange	Sweden	XGU	XGQ	XGJ	XGX	n/a
SSAB AB	SE0000171100	Stockholm Stock Exchange	Sweden	SJW	SJO	SJB	SJV	n/a
Svenska Cellulosa AB ser. B	SE0000112724	Stockholm Stock Exchange	Sweden	EPU	EPQ	EPJ	EPX	n/a
Svenska Handelsbanken ser. A	SE0000193120	Stockholm Stock Exchange	Sweden	AQU	AQQ	AQJ	AQX	n/a
Swedbank AB	SE0000242455	Stockholm Stock Exchange	Sweden	FSU	FSQ	FSJ	FSX	n/a
Swedish Match AB	SE0000310336	Stockholm Stock Exchange	Sweden	SQW	SQO	SQB	SQV	n/a
Tele2 AB ser. B	SE0005190238	Stockholm Stock Exchange	Sweden	TSU	TSQ	TSJ	TSX	n/a
TeliaSonera AB	SE0000667925	Stockholm Stock Exchange	Sweden	TLU	TLQ	TLJ	TLX	n/a
Trelleborg AB	SE0000114837	Stockholm Stock Exchange	Sweden	TJU	TJO	TJB	TJV	n/a
Volvo AB - B shares	SE0000115446	Stockholm Stock Exchange	Sweden	VCU	VCQ	VCJ	VCX	n/a
Erste Bank der Oesterr Spks	AT0000652011	Vienna Stock Exchange	Austria	EBU	EBQ	EBJ	EBX	n/a
EVN AG	AT0000741053	Vienna Stock Exchange	Austria	JRU	JRQ	JRJ	JRX	n/a
Flughafen Wien AG	AT0000911805	Vienna Stock Exchange	Austria	JXU	JXQ	JXJ	JXX	n/a
Mayr-Melnhof Karton AG	AT0000938204	Vienna Stock Exchange	Austria	KRU	KRQ	KRJ	KRX	n/a
Oesterreichische Post AG	AT0000APOST4	Vienna Stock Exchange	Austria	KXU	KXQ	KXJ	KXX	n/a
OMV AG	AT0000743059	Vienna Stock Exchange	Austria	OAU	OAQ	OAJ	OAX	n/a
Raiffeisen International Bank-Holding AG	AT0000606306	Vienna Stock Exchange	Austria	WUU	WUQ	WUJ	WUX	n/a
RHI AG	AT0000676903	Vienna Stock Exchange	Austria	WXU	WXQ	WXJ	WXX	n/a
Schoeller-BleckMAN Oilfield Equipment AG	AT0000946652	Vienna Stock Exchange	Austria	WZU	WZQ	WZJ	WZX	n/a
Telekom Austria AG	AT0000720008	Vienna Stock Exchange	Austria	TRU	TRQ	TRJ	TRX	n/a
Uniqqa Versicherungen AG	AT0000821103	Vienna Stock Exchange	Austria	XYU	XYQ	XYJ	XYX	n/a
Verbund AG	AT0000746409	Vienna Stock Exchange	Austria	XZU	XZQ	XZJ	XZX	n/a
Vienna Insurance Group	AT0000908504	Vienna Stock Exchange	Austria	YFU	YFQ	YFJ	YFX	n/a
Voestalpine AG	AT0000937503	Vienna Stock Exchange	Austria	YCU	YCQ	YCJ	YCX	n/a
Wienerberger AG	AT0000831706	Vienna Stock Exchange	Austria	YGU	YGQ	YGJ	YGX	n/a

Options on the following indices are Eligible Options (Exchange Contracts No. 129E and 351F)

Index Name	ISIN	Flexible contract codes (Bclear only)		Standard contract codes (Bclear and Central Order Book)	
		American style	European style	American style	European style
AEX	NL0000000107	XAX	YAX	n/a	n/a
BEL 20	BE0389555039	XBL	YBL	n/a	n/a
CAC 40	FR0003500008	XCC	YCC	n/a	n/a
FTSE 100	GB0032804899	XUK	FLX	n/a	ESX
FTSE 250	GB0001384287	n/a	YFS	n/a	n/a
FTSEurofirst 100	n/a	XFP	YFP	n/a	n/a
FTSEurofirst 80	n/a	XFE	YFE	n/a	n/a
PSI 20	PTING0200002	XPI	YPI	n/a	n/a

REPRESENTATION OF PRESCRIBED ENTITY STATUS

This form must be completed by any U.S. entity wishing to transact business in Eligible Options on the London International Financial Futures and Options Exchange (“LIFFE”) and provided to that entity’s LIFFE Member. It contains representations and warranties as to the status of the U.S. entity as a Prescribed Entity for the purposes of LIFFE’s Rules.

To: _____
(Name of LIFFE Member)

From: _____
(Name of Eligible Broker-Dealer/Eligible Institution – delete as applicable)

Address: _____

REPRESENTATION BY PRESCRIBED ENTITY

The Eligible Broker-Dealer/Eligible Institution identified above hereby represents and warrants to the LIFFE Member as follows:

1. Its name and address set out above are correct.
2. It is an Eligible Broker-Dealer/Eligible Institution, and as such it:
 - (i) owns and invests on a discretionary basis a specified amount of eligible securities sufficient for it to be a qualified institutional buyer under Rule 144A under the Securities Act (and if a bank, savings and loan association, or other thrift institution, has a net worth meeting the requirements of Rule 144A under the Securities Act); and
 - (ii) has had prior actual experience in the U.S. standardised options markets and as a result thereof has received the options disclosure document entitled “Characteristics and Risks of Standardized Options” (“the “Options Disclosure Document” or “ODD”) that is prepared by the Options Clearing Corporation and the U.S. options exchanges;
3. Its transactions in Eligible Options will be for its own account or for the account of another Eligible Broker-Dealer/Eligible Institution or for the managed account of a non-U.S. person within the meaning of Rule 902(k)(2)(i) of Regulation S under the Securities Act;

4. It will not transfer any interest or participation in an Eligible Option it has purchased or written to any other U.S. person, or to any person in the United States, that is not an Eligible Broker-Dealer/Eligible Institution;
5. It will cause any disposition of an Eligible Option it has purchased or written to be effected only on LIFFE, and it understands that any required payments for premium, settlement, exercise, or closing of any Eligible Option with respect to which it has a contract with the LIFFE Member must be made in the designated currency;
6. It understands that if it has a contract as a writer of an Eligible Option with a LIFFE Member, margin must be provided to that LIFFE Member in such form and amount as determined by that Member, and such Member, if a Non-Clearing Member of LIFFE, must provide margin to its Clearing Member; and if a Clearing Member of LIFFE, must maintain, measure, and deposit margin on such Eligible Option with LIFFE's appointed clearing house, ICE Clear Europe Limited ("ICE Clear"), in such form and amount as determined by ICE Clear;
7. If it is an Eligible Broker-Dealer/Eligible Institution acting on behalf of another Eligible Broker-Dealer/Eligible Institution that is not a managed account, it has obtained from the other Eligible Broker-Dealer/Eligible Institution a written representation to the same effect as the foregoing and will provide it to the LIFFE Member upon demand; and
8. It will notify the LIFFE Member of any change in the foregoing representations prior to placing any future order, and the foregoing representations will be deemed to be made with respect to each order it gives to the LIFFE Member.

Signed for and on behalf
of the Prescribed Entity by:

(Signature)

Name:

(Print)

Position:

(Authorised Signatory)

Date:

LIFFE Equity Options in the United States

Declaration by LIFFE Member

To: The Member Compliance Department

LIFFE

Cannon Bridge House

1 Cousin Lane

London EC4R 3XX

derivativesmembership@nyx.com

I confirm that we transact or intend to transact business in Eligible Options with or for Prescribed Entities in the United States in accordance with the terms of the SEC class no-action relief set out initially in London Notice No. 3732, issued on 3 July 2013, and any updates thereto.

We understand that LIFFE will require confirmation of this declaration annually.

From: Member Name: _____
Name of Individual (print): _____
Signature: _____
Position: _____
Date: _____